



#### Perspective on the "stress tests" (complementary safety assessments) and peer reviews

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#### content

- who are we?
- *Fukushima:* a very specific accident
- safety reassessment: timeline / technical scope / methodology / some insignts
- which *improvements?*
- Regulators Report, *Peer Review*
- conclusions





#### who are we?

- FORATOM is the Brussels-based association of nuclear industry in Europe:
  - 17 national nuclear associations active across Europe
  - nearly 800 firms represented
- ENISS (European Nuclear Installations Safety Standards) was set up in 2005 under the umbrella of FORATOM
  - ENISS currently represents the nuclear utilities and operating companies from 16 European countries with nuclear power programmes, including Switzerland







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#### the Fukushima accident

- the plant *design* did not take into account a tsunami of the size of the one which occurred in March 2011
- the accident resulted in an almost *total lost of safety functions:* 
  - electrical supply
  - heat sink
- the consequences of the tsunami and the impact of radiation worsen the accident management
- several reactors units on the same site were directly involved in the accident
- the cooling of *the spent fuel pools* (located in each reactor building) was a special concern





#### the dawn of the safety reassessment

- March 15, 2011: Energy Commissioner Oettinger, industry CEOs and European Regulators meet in Brussels, launch the *safety reassessment* initiative ("stress tests")
  - from the very start of the process, industry brings its strong support to the initiative and its members are involved in all steps of the process
- *May 19-20:* ENEF plenary meeting (Prague) endorses the process:
  - assessment to perform by the utilities
  - review to do by the national safety authorities, with guidance of WENRA
  - objective: identify what more to do with regard to prevention, control and mitigation in order to further lower the risks





#### safety reassessment: timeline

- Aug. 15<sup>th</sup>: the Licencees published their progress reports
- Sept. 15<sup>th</sup>: the Regulators issued their progress reports
- Oct. 31<sup>st</sup>: the Licencees issued their reports
- Dec. 31<sup>st</sup>: Final Regulators reports
- Jan. to April 2012: start and completion of the **Peer Review process**
- June 28<sup>th</sup>-29<sup>th</sup> 2012: European Commission due to globally report to European Council





#### safety reassessment: technical scope

- to focus on issues highlighted by the Fukushima accident: *initiating events, loss of safety functions, accident management*
- *initiating events* (IE):
  - earthquake
  - flooding and other extreme natural conditions
- consequential *loss of safety functions:* 
  - prolonged total loss of electrical power
  - prolonged total loss of the main ultimate heat sink
  - combination of both situations
- *accident management* issues:
  - prevention of some accident conditions
  - core melt accident management





#### safety reassessment: *methodology*

- safety reassessment to be based on:
  - existing and available *studies / walk downs*
  - engineering judgement to evaluate the adequacy of the available margins and means
- o a *four step approach* to identify potential improvements:
  - review the current *design basis*
  - review the existing preventive and mitigation protection measures
  - review the vulnerability of the plant with due regard to hazards exceeding the design basis
  - if needed, *beyond design* studies





## safety reassessment: insights (1)

- all Nuclear Operators applied the methodology as defined in ENSREG May 24 letter
- through their reports, the Methodology and the *Terms of Contents* are very similar; most of their content is available to public consultation
- *European plants are globally safe;* no need to curtail the operation of some of them
- industry considers that the safety reassessments were not *lenient* but rather *stringent*
- beyond design situations have been analysed to check NPP robustness
  [It does not mean that any natural hazard –whatever its probability– has to be taken into account: how safe is safe enough? (*i.e.* which limits to the process, and by whom?)]





## safety reassessment: insights (2)

- the *analysis* of each and every NPP is *very specific:* 
  - the external events to be considered might be rather different, depending on local specific features and environment
  - the *original designs* were not all similar
  - *improvements* already implemented after Plan Safety Review vary from one to another NPP
- the review of these reports was done carefully in detail under every *National Regulator* responsibility





#### safety reassessment: NPP robustness

- Defence in Depth is one of the basic principles of Nuclear Power Plants safety (prevention, control, mitigation)
- thanks to permanent safety improvements and to the programs previously and currently implemented on a regular basis:
  - maintenance
  - ageing
  - changes
  - Plan Safety Review

the recent safety reassessment initiative by EC and the Regulators added up to a regular and repetitive process and did not totally arise as a fundamental breakthrough in industry's practices





## some proposed improvements (1)

- case by case (because of each NPP particularities), better protect *the safety functions* (from flood, earthquake):
  - protection of buildings (sealed perimeters)
  - reinforcement or rising of dams, dikes
- organizational & human factor: even in the case of natural hazards, human beings stay at the core (which implies to deeply care for design, training, procedures, emergency planning, etc.)
- possible *design improvements:*
  - further diversify electrical supply
  - provide for additional water
  - heat sink
  - emergency center





## some proposed improvements (2)

- o portable components / *plug and play* systems and equipment
- severe accidents management: (in particular, keep enough flexibility to be able to deal with different situations):
  - multi reactor unit crisis management (organization and features *e.g.* FARN Nuclear Rapid Action Force in France)
  - H<sup>2</sup>: passive catalytic recombiners
  - containment filtered venting
- specific features for *spent fuel pool*: instrumentation, water sources





### the Reports of the National Regulators

- European NPPs have *substantial margins* and *robustness*
- the measures implemented sofar for SAM provide for *further margin* to protect the public and the environment
- assessment by the Regulators :
  - the nuclear installations subject to the Safety Reassessment are sufficiently safe to continue operation
  - the safety level must continuously be improved





#### the Peer Review process

- worldwide experience in many other sectors gives the industry credit for using *Peer Review* processes (incl. WANO - World Association of Nuclear Operators)
- industry believes that Peer Review allows for sharing best practices and contributes to global improvement
- the exchanges with non nuclear EU Member States increases the interest of the process
- for industry, each safety requirement has to be individually considered (which implies not necessarily linearly "adding up" all requirements)
- industry is strongly supportive of the European Safety Analysis Process, which could help strengthening the public trust in favour of a decisive technology in the EU low carbon energy mix and lowering CO<sub>2</sub> emissions





#### Conclusions

- each and every NPP is very specific, but some generic insights have been spotted:
  - design level
  - portable components
  - SAM features
- the available Regulator Review confirms NPPs high safety performance; additional measures to increase robustness should be further assessed
- industry hopes the Peer Review Process contributes to improving public trust and confidence by demonstrating that
  - NPPs are operating safely
  - safety is regularly updated through a continuous improvement process



